

**STEPHEN M. RAFFLE, M.D. & ASSOCIATES**

A MEDICAL CORPORATION

OFFICE LOCATIONS

35 WOLFE GRADE  
(MARIN COUNTY)  
KENTFIELD, CALIFORNIA 94904

300 FRANK H. OGAWA PLAZA, SUITE 700  
OAKLAND, CALIFORNIA 94612

NORTH AMERICA  
ON-SITE CONSULTATION

**CURRICULUM VITAE**

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PSYCHOTHERAPY

**FORENSIC PSYCHIATRY**

FORENSIC PSYCHIATRIC TESTIMONY:  
QUALIFIED IN MULTIPLE JURISDICTIONS

**INDEPENDENT MEDICAL  
EXAMINATION (IME)**

PSYCHIATRIC ASSESSMENT

**STEPHEN M. RAFFLE, M.D.**  
**DIPLOMATE IN PSYCHIATRY,**  
**DIPLOMATE IN FORENSIC PSYCHIATRY,**  
**AMERICAN BOARD OF PSYCHIATRY AND NEUROLOGY**

In 1969, during his military service, Dr. Raffle began his career as a Forensic Psychiatrist. One of his duties, as the psychiatric consultant to the Surgeon of 5th U.S. Army, was to be the psychiatric consultant to the JAG of 5 USA. Taking a fourth year of psychiatric residency, Dr. Raffle participated in a special program at Boalt Hall, the University of California School of Law (Berkeley), where he studied law courses relevant to the practice of forensic psychiatry under the mentorship of Bernard Diamond, M.D., Chairman of Criminology and Professor of Law at UC Berkeley, and Professor of Psychiatry at UCSF Medical School.

Dr. Raffle has developed an active clinical psychiatric practice, and has taught in the departments of Psychiatry and Orthopedics as a psychiatrist at UCSF Medical School. He also taught at the Hastings Law School postgraduate course "Trial and Appellate Advocacy" for 11 years instructing attorneys about the direct and cross-examination of expert witnesses.

Since 1972, 50% of Dr. Raffle's practice has been spent consulting with attorneys, insurers, employers, and judges in multiple venues. As a Forensic Psychiatrist, he has evaluated and/or testified about virtually all of the issues in his profession and about most causes of action: criminal, maritime, personal injury including toxic torts, product liability, negligence, breach of contract, civil, EEOC, ADA, Title VII, Social Security, Military, employment, and long-term and short-term disability. Dr. Raffle has offered his expert opinion in approximately 150 trials, and conducted over 5000 independent medical examinations over the course of his career.

1 OF 5

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**Medical Licenses:**

1969 - Present California  
1989 - 2006 State of Alaska

**Education, Qualifications, Board Certifications:**

1958 - 1959 University of Chicago  
1959 - 1962 University of California, Berkeley, California A. B. Physiology  
1962 - 1966 The Chicago Medical School, Chicago, Illinois Doctor of Medicine  
1966 - 1967 Michael Reese Hospital, Chicago, Illinois Medical Internship  
1967 - 1968 University Hospitals, Cleveland, Ohio 1<sup>st</sup> year Psychiatric Residency  
1968 - 1969 Letterman General Hospital, San Francisco, CA 2<sup>nd</sup> year Residency  
1970 - 1972 Herrick Hospital, Berkeley, California 3<sup>rd</sup> and 4<sup>th</sup> year Psychiatric Residency  
1971 - 1972 Auditor, University of California, Berkeley, School of Law (Boalt Hall)  
**1977 - Present American Board of Psychiatry and Neurology Diplomate in Psychiatry**  
1979 - 1993 Independent Medical Examiner, State of California Workers' Compensation Appeals Board  
**1994 - Present American Board of Psychiatry and Neurology Diplomate in Forensic Psychiatry**  
2006, 2008-Present Qualified Medical Examiner, State of California Department of Industrial Relations - Division of Workers' Compensation Medical Unit

**Academic Positions:**

1980 - 2001 University of California, San Francisco, School of Medicine, Assistant Clinical Professor, Psychiatry  
1983 - 2001 University of California, San Francisco, School of Medicine, Assistant Clinical Professor, Orthopedic Surgery  
1985 - 2000 Center for Trial and Appellate Advocacy Hastings College of Law Faculty  
1972 - 1983 Herrick Hospital Psychiatric Residency Instructor, Training Program  
1974 - 1980 University of California, San Francisco School of Medicine Instructor, Psychiatry

**Professional Affiliations:**

- 2009 - Present Warbritton & Associates Impairment Rating Systems (WAIRS), Worker's Compensation Assessment/Evaluations, AME, PQME
- 1972 - Present Stephen M. Raffle, M.D. & Associates

**Consulting Positions:**

- 2009 - Present Hospice by the Bay, Psychiatric Consultant
- 1993 - Present Senior Consultant, Work Trauma Services, San Francisco
- 1979 - Present U.S. Dept of Labor, Independent Medical Examiner, Longshoreman's and Harbor Act
- 1972 - Present Consultant on case-by-case basis to defense firms, plaintiff's firms and Applicant's firms, and insurance companies (including Worker's Compensation)
- 1994 - 1998 Consultant, United States Postal Service Threat Assessment Team, Oakland, California
- 1979 - 1993 Independent Medical Examiner, State of California Workers' Compensation Appeals Board
- 1978 - 1987 Administrative Law Judges of Social Security Administration
- 1976 - 1980 Alameda County Personnel Department. Includes second opinions for Sheriff's Department
- 1971 - 1974 Herrick Hospital, Berkeley, California, Psychiatric consultant - Neurological Rehabilitation Unit
- 1970 - 1971 Headquarters 5th United States Army: Psychiatric consultant to the Surgeon of the 5th United States Army, Psychiatric Liaison to Judge Advocate General, 5th United States Army, Examiner for prospective West Point Cadets, Acting Chief, Office of Physical Standards

**Consultant To (Exemplars):**

***Private Law Firms*** – Hundreds of domestic and International firms (references available on request)

***U.S. Department of Justice***

***County Public Defenders: California:*** San Francisco, Alameda, Contra Costa, Santa Clara, Mendocino, Merced, Santa Cruz, Monterey and Solano; ***Alaska:*** Anchorage, Palmer

***District Attorneys:*** Counties of Del Norte, Mendocino, Amador, Yolo, California; Anchorage, Alaska

**Private Employers:** Oil and Gas Refineries and Distributors, Maritime Industry, Trucking, Public and Private Utilities, Government Entities, Manufacturers, Municipalities, Law Enforcement, Insurance Companies; union protocol and considerations.

**Worker's Compensation** *Applicant's Attorneys, Applicants, Insurers*

**Professional Organizations:**

American Medical Association

California Medical Association

American Psychiatric Association

Northern California Psychiatric Society

American Academy Psychiatry and Law

California Academy of Medicine

Fellow, Royal College of Medicine (UK) (Member, Forensic Psychiatry Committee)

California Society of Industrial Medicine and Surgery (CSIMS)

**Professional Committees and Board Memberships:**

2007 - 2008 Board of Directors, Hospice by the Bay Foundation, Member

1994 - 1995 Medical Board of California, Board of Podiatric Medicine, Podiatry Diversion Evaluation Committee (for psychiatric impairment to medical practices)

1991 - 1995 Medical Staff Assistance Committee, Impaired Physician Committee, Samuel Merritt Hospital

1983 - 1986 Education Committee, Northern California Psychiatric Society

1976 - 1987 Task Force about the Role of the Psychiatrist in the criminal law process, Northern California Psychiatric Society

1973 - 1990 Chairman, Committee on Informed Consent. In 1978, this committee merged into Committee on Psychiatry and Law

**Hospital Affiliations:**

2002 - 2008 Staff Privileges, Kentfield Rehabilitation Hospital, Kentfield, CA

1977 - 2002 Summit Medical Center, Oakland, California (formerly Merritt or Providence)

1972 - 1977 Herrick Hospital and Alta Bates Hospital, Berkeley, California

**Presentations:**

2011 ***“The Prosecutor’s Best Use of a Psychiatrist or Psychologist Expert Witness in Cases of Alleged Stalking; Examination and Cross-Examination of a Mental Health Expert Witness,”*** Collaborative Stalking Summit, by San Francisco Deputy Attorney’s Office, Stalking Task Force, September 2011 (Conference for Deputy Attorneys, Law Enforcement and Private Sector Attorneys)

4 OF 5

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- 2011 **"A Few Thoughts About the Direction Examination and Cross- Examination of a Mental Health Expert Witness in Worker's Compensation Proceedings,"**  
Sacramento County Bar Association, Featured Speaker
- 2010 **"Post Traumatic Stress Disorder and Other Stress Response Syndromes,"**  
Industrial Claims Association of San Francisco, Featured Speaker
- 2009 **"Fitness for Duty and Employment Law Seminar,"** Kaiser Permanente,  
Featured Speaker
- 2009 **"Suicide Prevention,"** and assistance with preparation of suicide prevention  
materials for **Employee Assistance Program** at California's largest utility
- 2009 **"Threat Assessment in the Workplace,"** for Regional Medical Unit  
Personnel, United States Postal Service
- 1996 **"Chronic Pain Syndrome: An Interdisciplinary Approach,"** Management  
Circle, The Public Pension Fund Forum for New Trustees Conference:
- 1995 **"Permanent and Stationary is not Forever,"** Institute for International  
Research, Controlling Disability Payments in the Public Pension Plan
- 1992 - 1994 **"Sexual Harassment,"** American Bar Association
- 1992 **"Trial of a Sexual Harassment Case: From Voir Dire to Jury Decision,"**  
American Bar Association, Division for Professional Education: Consultant  
and Psychiatric Presenter
- 1992 **"Sexual Discrimination in the Workplace,"** American Bar Association,  
National Meeting
- 1991 **"Treatment of Chronic Pain,"** Merritt-Peralta Medical Center, Oakland, CA
- 1990 **"Crisis Management for Psychiatric Emergencies,"** U.S. Postal Services
- 1990 **"Psychiatric Malpractice: A Review of Treatment Risks, Opportunities  
and the Law,"** Alaska Psychiatric Institute, Anchorage, Alaska
- 1990 **"Assessment of Chronic Pain,"** Merritt-Peralta Medical Center, Oakland, CA
- 1989 **"Crisis Management for Psychiatric Emergencies,"** U.S. Postal Services
- 1985 **"Stress and Cardiovascular Disease,"** University of California, San  
Francisco Medical School: Intensive Residency for Occupational Medicine.
- 1985 **"Psychiatry and Workers' Compensation Law,"** 12 hour course presented  
by me over four days, California Applicant Attorney's Association
- 1984 **"Psychopathology and Physiology of Pain,"** Northern California Psychiatric Society
- 1976 **"The Role of the Psychiatrist in the Courtroom,"** American Society of  
Criminology, Tucson, AZ
- 1973 **"Psychiatry and Criminal Law,"** CEB, California